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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )  
William FENICAL et al. ) FOR: MARINE ACTINOMYCETE  
Serial No.: 09/991,518 ) TAXON FOR DRUG AND  
Filed: November 16, 2001 ) FERMENTATION PRODUCT  
Discovery  
Group  
Art Unit: 1645

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
Washington, D.C. 20231

Attention: Examiner

Dear Sir:

Applicants submit herewith references of which they are aware, which they believe may be material to patentability of the invention disclosed and claimed in the above-cited application and with respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on:

September 10, 2002  
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Karen L. Johnson  
(Typed Name)

*Karen L. Johnson*  
(Signature)

September 10, 2002  
(Date of Signature)

Applicants are submitting herewith copies of the references which are set forth on the attached Form PTO/SB/08A. As all relevant parts of the references are in the English language, no explanation of the references is provided herein.


This Information Disclosure Statement is submitted within three (3) months of the filing date of the above-cited application or of the date of entry into the national phase of the application or prior to the mailing date of a first Office Action thereon, whichever has occurred last, such that no fee is required.

Further, while the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specifically designated as such.

Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

Respectfully submitted,

Dated: September 10, 2002

By:   
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Colleen J. McKiernan  
Registration No. 48,570

BROWN MARTIN HALLER & McCLAIN LLP  
1660 Union Street  
San Diego, California 92101

Telephone: (619) 238-0999  
Facsimile: (619) 238-0062

Docket No.: 6627-PA1022



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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/991,518	
	Filing Date	11/16/2001	
	First Named Inventor	FENICAL, William	
	Group Art Unit	1645	
	Examiner Name	unknown	
Total Number of Pages in This Submission	193	Attorney Docket Number	6627PA1022

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	COLLEEN J. McKIERNAN Reg. No. 48,570
Signature	
Date	September 10, 2002

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Signature		Date	September 10, 2002

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